

# A Revenue Enhancing Stackelberg Game for Owners in Opportunistic Spectrum Access

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# Motivation

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- Worst case scenario allocations result in under-utilization of the spectrum
- Opportunistic spectrum access (OSA) proposed for better utilization
- Opponents of OSA:
  - Impossible to utilize vacancies w/o interfering primaries
  - Owner will not allow OSA not to lose her customers
- Our viewpoint:
  - Spectrum is a valuable economical commodity
  - Underutilization = loss in revenues
- Goal: Show that spectrum owner can enhance revenues by adopting OSA

# Previous Work

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- [Neel et al. 2005, Nie and Comaniciu 2006, Bloem et al. 2007, Stanojev et al. 2007,...]: strategic behaviors for cognitive radio networks using game theory
  - Not in economical perspective
- [Niyato and Hossein 2007, 2008]: revenue maximization and pricing problems for spectrum owners
  - Interference from secondary users not considered
- [Mutlu et al. 2008] takes interference into account
  - The primary and secondary users use same protocol
- Our work is first one to
  - Show economical incentives for spectrum owners under OSA model
  - Secondary users follow a non-perfect listen-before-send strategy

# Outline

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- Setup and assumptions
  - Stackelberg game model
  - Secondary user model
  - Primary user model
  - Primary (spectrum) owner model
- Simulations

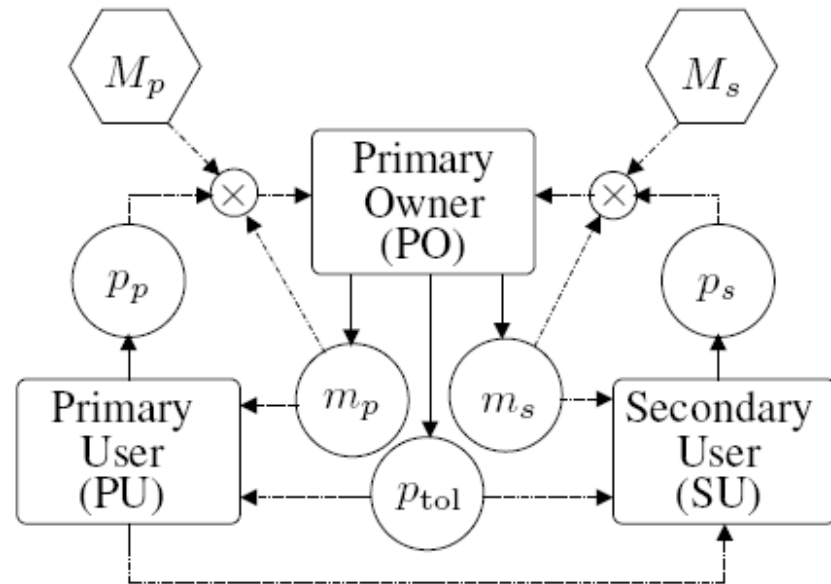
# Stackelberg Game Model

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- Opportunistic spectrum access in time dimension
  - One channel with capacity  $C$
- User utility measured with throughput
- Primary (secondary) user willing to pay 1\$ per  $\alpha$  ( $K\alpha$ ) bits communicated ( $K>1$ )
- Channel utilized  $x\%$  of the time  $\rightarrow$  throughput achieved =  $xC$

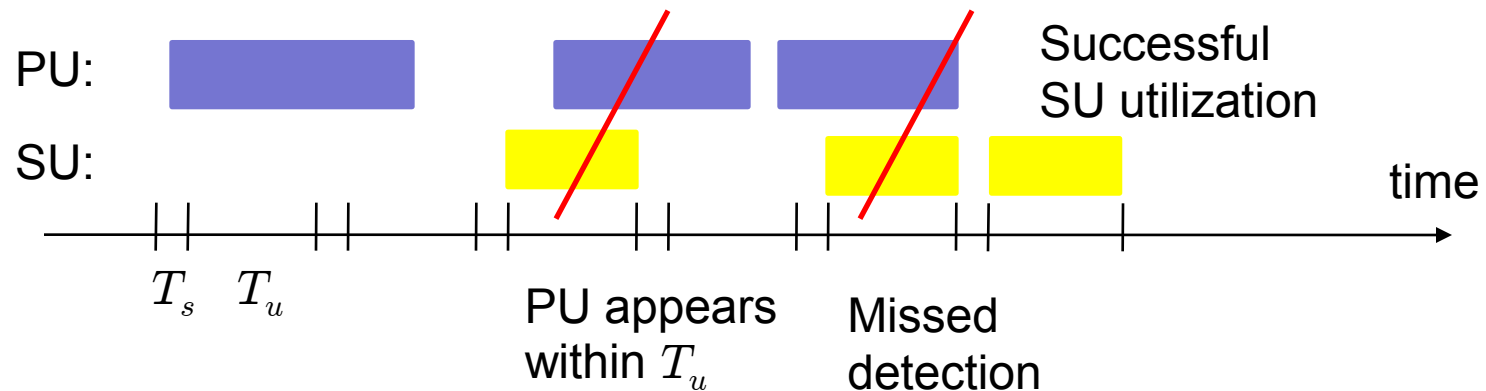
# Stackelberg Game Model

- Primary (secondary) users pay subscription fee  $m_p$  ( $m_s$ )
- Maximum interference probability tolerated:  $p_{tol}$
- Primary owner sets  $m_p$ ,  $m_s$  and  $p_{tol}$
- Primary (secondary) users buy service w.p.  $p_p$  ( $p_s$ )
- Primary owner optimizes for maximum revenues



# Secondary User Model [Motamedi & Bahai, 2008]

- Secondary network is assumed to act as a single body
- Slots of deterministic length  $T_s + T_u$
- Attempt sensing w.p.  $p_a$ , if attempted, sense for  $T_s$
- If idle, utilize for  $T_u$



# Secondary User Model [Motamedi & Bahai, 2008]

- Interference probability:

$$p_{\text{int}} = p_a(1-p_D)P(\mathcal{H}_1) + p_a(1-p_{\text{FA}})P(\mathcal{H}_0)(1-\exp(-T_u/I))$$

Probability of detection of the primaries

False alarm probability

- Utilization:  $U_s = p_a P(\mathcal{H}_0)(1 - p_{\text{FA}}) \exp(-T_u/I) \frac{T_u}{T_u + T_s}$
- Secondary user optimization:

$$\begin{aligned} & \underset{T_u, p_a}{\text{maximize}} && U_s \\ & \text{subject to} && p_{\text{int}} \leq p_{\text{tol}} \\ & && \text{and } 0 \leq p_a \leq 1. \end{aligned}$$

- At equilibrium:  $\frac{CU_s}{M_s p_s} = K \alpha m_s \rightarrow p_s = \frac{CU_s}{M_s K \alpha m_s}$

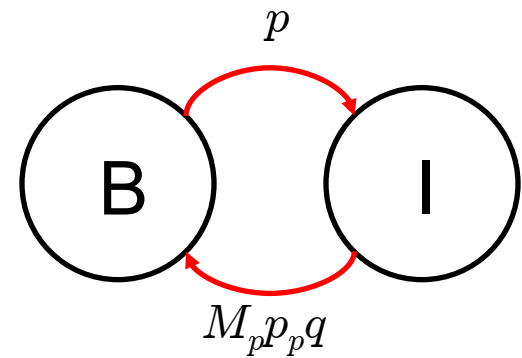
# Primary User Model

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- A primary user generates (ends) calls at a rate  $q$  ( $p$ )
- Channel is busy (idle) with mean  $1/p$  ( $1/M_p p_p q$ )
- Average throughput scales by  $(1-p_{\text{tol}})$
- Equilibrium:

$$C(1 - p_{\text{tol}}) \frac{q}{p + M_p p_p q} = \alpha m_p,$$

$$p_p = \frac{1}{M_p q} \left( \frac{C(1 - p_{\text{tol}})q}{\alpha m_p} - p \right)$$



# Primary Owner Model

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- Revenue:

$$\begin{aligned} R &= M_p p_p m_p + M_s p_s m_s \\ &= M_p p_p m_p + \frac{CU_s}{K\alpha}, \end{aligned}$$

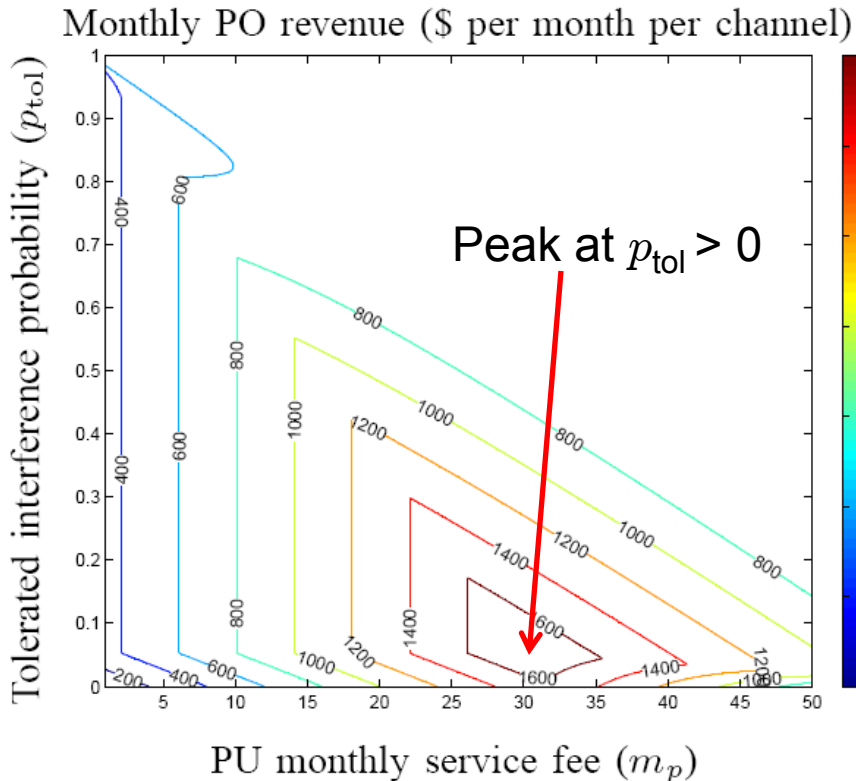
- Second line: w/o effecting revenue, set:

$$p_s = 1 \quad m_s = \frac{CU_s}{M_s K \alpha}$$

- Optimization problem:

$$\begin{array}{l} \text{maximize } R \\ \quad p_{\text{tol}}, m_p \\ \text{subject to } 0 \leq p_{\text{tol}} \leq 1 \\ \quad \text{and } 0 \leq m_p. \end{array}$$

# Simulations



Parameter	Explanation	Value
$C$	Channel capacity	50 kbps
$M_p$	Number of potential PUs	50
$M_s$	Number of potential SUs	50
$B = 1/p$	Mean channel busy time	180s
$q$	PU call generation rate	10 per day
$\alpha$	Value of \$1 in bits for a typical PU	5 MB/\$
$K$	Value of primary service relative to secondary	5
$p_D$	PU detection probability (by SU)	0.9
$p_{FA}$	False alarm probability (by SU)	0.01
$T_s$	Sensing time for PU detection	10 ms

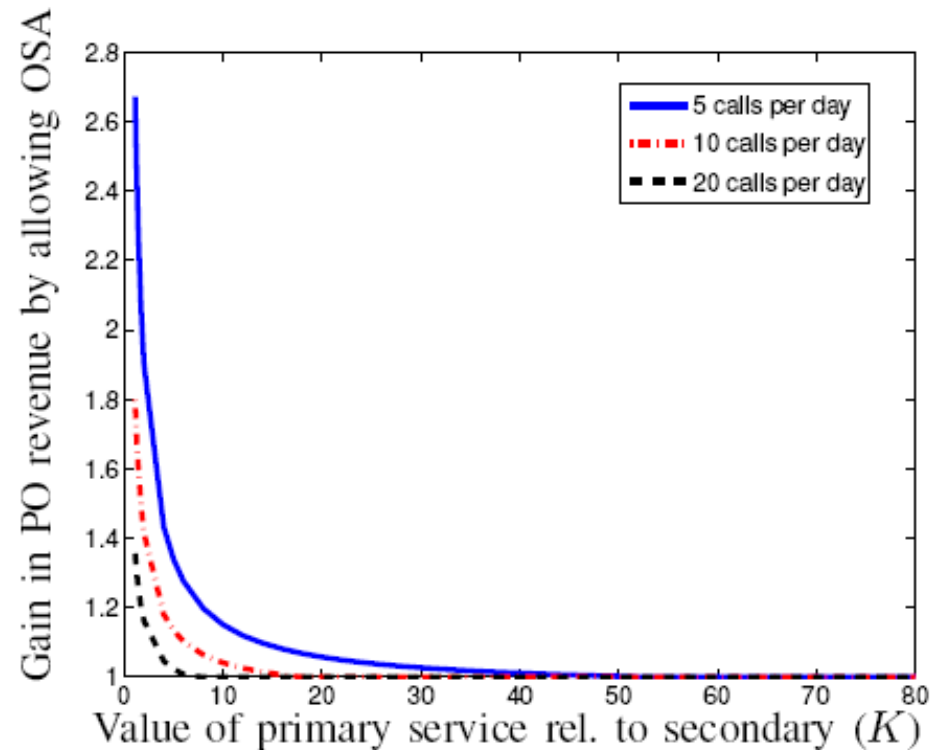
Optimal	w/OSA	w/o OSA
$p_{tol}$	5.31%	–
$m_p$ (monthly)	\$29.84	\$31.5
$m_s$ (monthly)	\$5.89	–
PO revenue (monthly, per channel)	\$1,786.4	\$1,575.4
SU utilization	47.6%	–
PU acceptance prob. ( $p_p$ )	1	1

PU utilization: 51%, SU utilization: 47.6%, total: 98.6%

Primary owner revenues enhanced, by allowing OSA

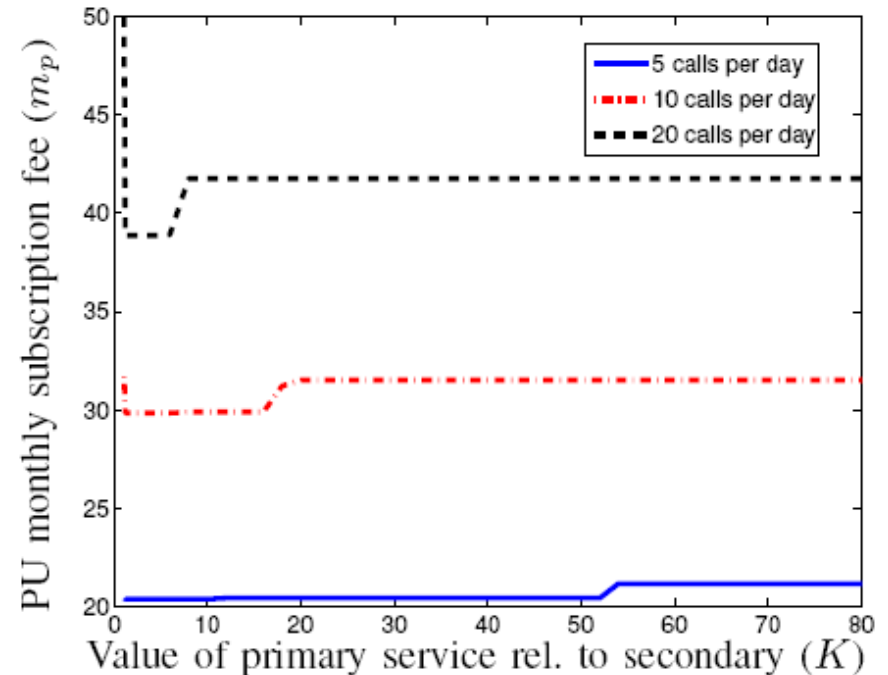
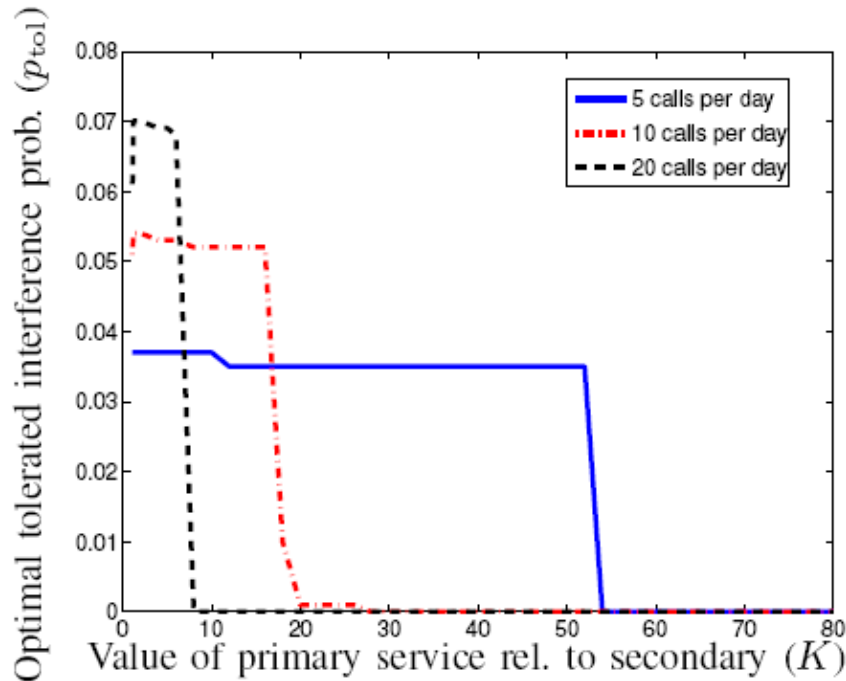
# Gain in Revenue vs. $K$

- $K$  is the value of primary service relative to secondary
- Can be found by surveys
  - Results in a confidence interval
- Spectrum owner's questions
  - For what range of  $K$  values is OSA profitable?
  - How sensitive are my actions against  $K$ ?



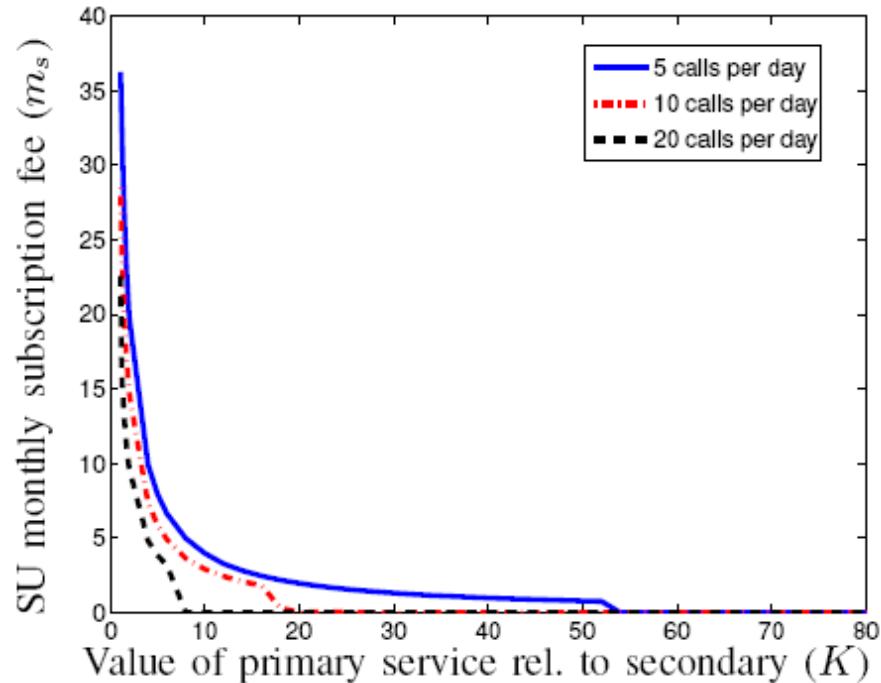
Primary owner revenues increase for a large range of  $K$  values

# Max Tolerated Interference and Primary Service Fee vs. $K$



Primary owner actions  $p_{tol}$  and  $m_p$  show little sensitivity against  $K$

# Secondary Service Fee vs. $K$



$$p_s = 1, m_s = \frac{CU_s}{M_s K \alpha}$$

Robustness of primary owner action  $m_s$  against  $K$  can be achieved by over-shooting

# Conclusion

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- We showed:
  - Spectrum owner's revenues increase by allowing OSA
  - Primary users buy the service provided lower service fee
  - Revenue enhancement due to service fees to secondaries and better spectrum utilization
  - Revenue enhancement available for a range of user preferences
  - Actions of the owner is robust against uncertainties in them
- Future work:
  - Multiple channels with variable capacities
  - Different utility functions
  - Analysis of cases when OSA is or isn't more profitable
  - Effect of competition among spectrum owners

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